

PARTICIPATION PLAN

Corporate Governance Committee

References:

- 16.05.2013
- 10.12.2013
- 24.12.2013
- 06.04.2016
- 13.07.2016
- 20.03.2017
- 02.10.2017
- 28.09.2018
- 23.10.2018
- 14.09.2019
- 15.05.2020
- 23.10.2020
- 27.10.2020
- 30.09.2022
- *Forthcoming*

** In line with the Roadmaps for the OECD Accession Process adopted by the Council at Ministerial level on 10 June 2022, Brazil, Bulgaria, Croatia, Peru, and Romania are invited to participate, on the basis of their status as accession candidate countries, in the meetings of all OECD substantive committees and their subsidiary bodies open to participation by all OECD Members. Where they participate on the basis of their status of accession candidate countries, they will participate in all discussions except confidential sessions and have access to related documents but they will not take part in decision-making. Where they are Associates or Participants in an OECD body in line with the Revised Resolution of the Council on Partnerships in OECD Bodies, they will maintain their status and the corresponding rights and obligations throughout the accession process.*

PARTICIPATION PLAN

| | | | |
|---|--|--------------------------------------|----------------------------|
| OECD Body | Corporate Governance Committee (CGC) | | |
| Subsidiary bodies to which this Plan applies | <ul style="list-style-type: none"> Working Party on State Ownership and Privatisation Practices (WPSOPP) | | |
| Global Relations Strategic Directions | Approved on: 7 October 2020 | | |
| ASSOCIATES | | | |
| Conditions | <p><u>For the CGC and WPSOPP:</u> <i>Not yet set out.</i></p> <p><u>For the WPSOPP only:</u></p> <ul style="list-style-type: none"> Adherence to the Recommendation of the Council on Guidelines on Corporate Governance of State-Owned Enterprises [OECD/LEGAL/0414] subject to a review assessing whether the potential Adherent has such ownership practices and corporate governance of SOEs that it can credibly associate itself with the Recommendation. | | |
| Fee | Lower Part I Associate fee ¹ for the WPSOPP only. | | |
| Associate | Bodies to which the invitation applies | Approval date, summary record | Exchange of letters |

¹ The current rate can be found at this address: <https://www.oecd.org/global-relations/partnershipsinoecdbodies/>.

| None | | | |
|--|--|----------------------------|--------------------------|
| ASSOCIATES IN THE DISCUSSIONS CONCERNING THE RECOMMENDATION OF THE COUNCIL ON PRINCIPLES OF CORPORATE GOVERNANCE | | | |
| Conditions | <ul style="list-style-type: none"> • Membership in the Financial Stability Board (FSB) and endorsement of the G20/OECD Principles of Corporate Governance as an FSB standard; or • Adherence to the Recommendation of the Council on Principles of Corporate Governance [OECD/LEGAL/0413]. | | |
| Fee | None | | |
| Associate | Approval date | Exchange of letters | |
| Argentina | 13.07.2016 | 30.05.2017 | |
| Brazil* | 13.07.2016 | 22.06.2017 | |
| China (People's Republic of) | 13.07.2016 | 08.09.2017 | |
| India | 13.07.2016 | 30.03.2022 | |
| Indonesia | 13.07.2016 | 13.02.2020 | |
| Russia ² | 13.07.2016 | 21.11.2016 | |
| South Africa | 13.07.2016 | 30.05.2022 | |
| PARTICIPANTS | | | |
| Participant | Bodies to which the invitation applies | Approval date | Acceptance letter |
| Argentina** | CGC | 13.07.2016 | 30.05.2017 |
| | WPSOPP | 20.03.2017 | 30.05.2017 |

² On 8 March 2022, the Council decided an immediate suspension of the participation of the Russian Federation.

** Except for discussions of the CGC on the Recommendation of the Council on Principles of Corporate Governance in which this Partner was invited to become an Associate.

| | | | |
|--------------------------------|--------|------------|------------|
| Brazil* ** | CGC | 06.04.2016 | 16.01.2017 |
| | WPSOPP | 15.04.2013 | 16.01.2017 |
| China (People's Republic of)** | CGC | 06.04.2016 | 08.09.2017 |
| India** | CGC | 06.04.2016 | 30.03.2022 |
| Indonesia** | CGC | 06.04.2016 | 13.02.2020 |
| Kazakhstan | WPSOPP | 13.07.2016 | 03.10.2016 |
| Malaysia | CGC | 28.09.2018 | 26.10.2018 |
| Peru* | CGC | 27.10.2020 | 02.03.2021 |
| Russia** ³ | CGC | 13.07.2016 | 21.11.2016 |
| South Africa | CGC | 06.04.2016 | 21.02.2023 |

³ On 8 March 2022, the Council decided an immediate suspension of the participation of the Russian Federation.

INVITEE LIST⁴

CGC:

| Invitee | Approval date |
|--------------------------------|--------------------|
| Egypt | 16.05.2013 |
| Hong Kong (China) ⁵ | 16.05.2013 |
| Mauritius | <i>forthcoming</i> |
| Philippines | <i>forthcoming</i> |
| Saudi Arabia ⁶ | 16.05.2013 |
| Singapore ⁷ | 16.05.2013 |
| Thailand | <i>forthcoming</i> |
| United Arab Emirates | <i>forthcoming</i> |
| Ukraine | <i>forthcoming</i> |

In addition for:

- WPSOPP:

| Invitee | Approval date |
|---|--------------------|
| Azerbaijan | 30.09.2022 |
| Bangladesh | <i>forthcoming</i> |
| China (People's Republic of) ⁸ | 15.04.2013 |
| Egypt | 16.05.2013 |
| Georgia | <i>forthcoming</i> |

⁴ Partners listed as Invitees may be invited to individual meetings or items subject to an invitation by the concerned committee.

⁵ Hong Kong (China) has been invited by Council to become a Participant in the CGC [13.07.2016]. Until the invitation is accepted, Hong Kong (China) will remain an Invitee in the CGC.

⁶ Saudi Arabia has been invited by Council to become a Participant in the CGC [13.07.2016]. Until the invitation is accepted, Saudi Arabia will remain an Invitee in the CGC.

⁷ Singapore has been invited by Council to become a Participant in the CGC [13.07.2016]. Until the invitation is accepted, Singapore will remain an Invitee in the CGC.

⁸ China has been invited by Council to become a Participant in the WPSOPP [15.04.2013]. Until the invitation is accepted, China will remain an Invitee in the WPSOPP.

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|---------------------------|--------------------|
| Hong Kong (China) | 24.12.2013 |
| India ⁹ | 15.04.2013 |
| Indonesia ¹⁰ | 14.04.2013 |
| Kenya | 30.09.2022 |
| Malaysia | 16.05.2013 |
| Mauritius | <i>forthcoming</i> |
| Mongolia | 14.09.2019 |
| Morocco | 02.10.2017 |
| Philippines ¹¹ | 16.05.2013 |
| Russia ¹² | 16.05.2013 |
| Saudi Arabia | 16.05.2013 |
| Singapore | 16.05.2013 |
| Thailand | 30.09.2022 |
| Tunisia | 28.09.2018 |
| United Arab Emirates | <i>forthcoming</i> |
| Ukraine ¹³ | 16.05.2013 |
| Uzbekistan | 30.09.2022 |
| Viet Nam | 14.09.2019 |

- Discussions concerning the Recommendation of the Council on Principles of Corporate Governance:

⁹ India has been invited by Council to become a Participant in the WPSOPP [15.04.2013]. Until the invitation is accepted, India will remain an Invitee in the WPSOPP.

¹⁰ Indonesia has been invited by Council to become a Participant in the WPSOPP [15.04.2013]. Until the invitation is accepted, Indonesia will remain an Invitee in the WPSOPP.

¹¹ The Philippines has been invited by Council to become a Participant in the WPSOPP [13.07.2016]. Until the invitation is accepted, the Philippines will remain an Invitee in the WPSOPP.

¹² On 25 February 2022, the Council decided to stop all invitations to the Russian Federation in the bodies where it is listed as an Invitee.

¹³ Ukraine has been invited by Council to become a Participant in the WPSOPP [*forthcoming*]. Until the invitation is accepted, Ukraine will remain an Invitee in the WPSOPP.

| Invitee ¹⁴ | Approval date |
|-----------------------|---------------|
| Hong Kong (China) | 13.07.2016 |
| Saudi Arabia | 13.07.2016 |
| Singapore | 13.07.2016 |

| OVERVIEW FOR INFORMATION: INVITATIONS TO KEY PARTNERS AS ASSOCIATE, PARTICIPANT OR INVITEE | | |
|--|---------------|--|
| Key Partner | Invitation as | Bodies to which the invitation applies |
| Brazil* | Associate | Discussions concerning the Recommendation |
| | Participant | CGC |
| | Participant | WPSOPP |
| China (People's Republic of) ¹⁵ | Associate | Discussions concerning the Recommendation |
| | Participant | CGC |
| | Invitee | WPSOPP |
| India ¹⁶ | Associate | Discussions concerning the Recommendation. |
| | Participant | CGC |
| | Invitee | WPSOPP |
| Indonesia ¹⁷ | Associate | Discussions concerning the Recommendation |
| | Participant | CGC |
| | Invitee | WPSOPP |
| South Africa ¹⁸ | Associate | Discussions concerning the Recommendation |
| | Participant | CGC |
| | Participant | WPSOPP |

¹⁴ Of these Partners, the following have been invited by Council to become Associates in the Discussions of the CGC concerning the Recommendation of the Council on Principles of Corporate Governance: Hong Kong (China), Saudi Arabia, Singapore. Until such invitations are accepted, they will remain Invitees.

¹⁵ China has been invited by Council to become a Participant in the WPSOPP [15.04.2013]. Until the invitation is accepted, China will remain an Invitee in the WPSOPP.

¹⁶ India has been invited by Council to become a Participant in the WPSOPP [15.04.2013]. Until the invitation is accepted, India will remain an Invitee in the WPSOPP.

¹⁷ Indonesia has been invited by Council to become a Participant in the WPSOPP [15.04.2013]. Until the invitation is accepted, Indonesia will remain an Invitee in the WPSOPP.

¹⁸ South Africa has been invited by Council to become a Participant in the CGC [06.04.2016] and the WPSOPP [15.04.2013]. Until the invitation is accepted, South Africa will remain an Invitee in both bodies.